

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

JK
JCWS/CS 13 FEB 2004

2/13/2004 01:05 FAX 7045521866

SCHWARTZ LAW FIRM

001

SCHWARTZ LAW FIRM, P.C.
South Park Towers
6100 Fairview Road
Suite 530
Charlotte, North Carolina 28210
Tel: 704/552-1889 Fax: 704/552-1866
Email: jjs@schwartz-iplaw.com

February 13, 2004

TO: Office of Initial Patent Examination's
Filing Receipt Corrections

FROM: Jeffrey J. Schwartz

RE: U.S. Serial No. 10/706,558

FAX NO.: 703/746-9195

PAGES IN THIS
TRANSMISSION
(including cover):

4

CONFIRMATION
TO FOLLOW: No (unless requested)

MESSAGE: Please see the attached "Petition to Correct Filing Receipt".

I hereby certify that this correspondence is being faxed to the United States Patent and Trademark Office on
February 13, 2004

Kristen Bryant
Kristen Bryant

February 13, 2004
Date

*Note: If you did not receive this entire faxed transmission, please contact
Michelle Keane or Kristen Bryant at 704/552-1889. If you are the recipient of this
transmission in error, please contact Michelle Keane or Kristen Bryant at 704/552-1889.*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Smith, R. ATTORNEY DOCKET NO.: 188/1
SERIAL NO: 10/706,558
FILED: November 11, 2003
FOR: CLAMP ASSEMBLY FOR SECURING A LADDER TO A VEHICLE
RACK

PETITION TO CORRECT FILING RECEIPT

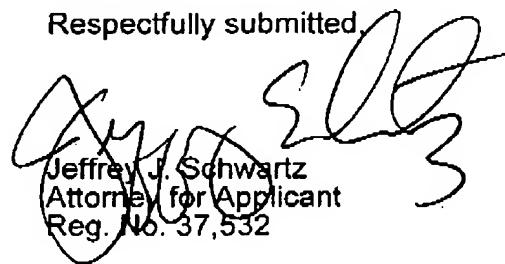
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Upon review of the filing receipt for patent application Serial No. 10/706,558, the undersigned attorney notes that an error appears on the filing receipt with respect to the filing date. The undersigned attorney filed the above-referenced patent application on November 11, 2003 as is evidenced by the enclosed copy of the Express Mail filing receipt for this application (No. ET 511987892 US).

It is respectfully requested that the filing date be changed to November 11, 2003. In addition, the undersigned attorney requests that any fees charged in connection with this petition be waived.

Respectfully submitted,



Jeffrey J. Schwartz
Attorney for Applicant
Reg. No. 37,532

Schwartz Law Firm, P.C.
SouthPark Towers
6100 Fairview Road, Suite 530
Charlotte, North Carolina 28210
Telephone: 704/552-1889
Facsimile: 704/552-1866
E-mail: jjs@schwartz-iplaw.com



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY,DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/706,558	11/13/2003 11/11/2003	3727	385	188/1	7	20	3

CONFIRMATION NO. 6911

FILING RECEIPT

OC000000011861503

Schwartz Law Firm, P.C.
 SouthPark Towers
 Suite 530
 6100 Fairview Road
 Charlotte, NC 28210

Date Mailed: 02/09/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert F. Smith, Pageland, SC;
 Michael S. Richardson, Waxhaw, NC;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 02/09/2004

Projected Publication Date: 05/19/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Clamp assembly for securing a ladder to a vehicle rack

Preliminary Class

RECEIVED
 FEB 11 2004
 BY:

224

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

 MAIL UNITED STATES POSTAL SERVICE POST OFFICE TO ADDRESSEE ORIGIN (POSTAL USE ONLY)		Customer Copy <small>Label 1-6 August 2000</small>	
		E 15-1 1-9-8-2 8-9-2 U.S.	
			
SEE REVERSE SIDE FOR SERVICE GUARANTEE AND INSURANCE COVERAGE LIMITS			
PO ZIP Code: 28203 Day & Date: 11/11/03 Refund/Exchange: <input type="checkbox"/>		Postponed: <input type="checkbox"/>	
Date In: 11 11 03	At Noon: <input type="checkbox"/> 3 PM: <input type="checkbox"/>	Random Hold/For: <input type="checkbox"/>	Delivery: <input type="checkbox"/>
Time In: <input type="checkbox"/> AM <input type="checkbox"/> PM	2nd Day: <input type="checkbox"/> 3rd Day: <input type="checkbox"/>	COD Fee: <input type="checkbox"/>	Interoffice Fee: <input type="checkbox"/>
Weight: 6.0 <input type="checkbox"/> Ounces <input type="checkbox"/> Pounds	Int'l Alpha/Carry/Code: <input type="checkbox"/>	Local Postage & Fees: <input type="checkbox"/>	Delivery Instructions: <input type="checkbox"/>
Customer Use Only			
Method of Payment: <input type="checkbox"/> Cash <input type="checkbox"/> Check <input type="checkbox"/> Money Order Express Mail Corporate Acct. No. 1881888			
FROM: PLEASE PRINT TO: PLEASE PRINT PHONE: 703 308-1400			
MAIL STOP: Patent Application Complaints for Payment P.O. Box 1430 Alexandria, VA 22344-1430			
			
FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com			